



FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 221449US8PCT	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <div style="font-size: 1.5em; font-weight: bold;">10/089068</div>	
INTERNATIONAL APPLICATION NO. PCT/SE00/01917		INTERNATIONAL FILING DATE 4 October 2000		PRIORITY DATE CLAIMED 5 October 1999	
TITLE OF INVENTION A COMPUTER BASED METHOD AND SYSTEM FOR CONTROLLING AN INDUSTRIAL PROCESS					
APPLICANT(S) FOR DO/EO/US Erik DAHLQVIST et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 					
Items 13 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input type="checkbox"/> Certificate of Mailing by Express Mail 23. <input checked="" type="checkbox"/> Other items or information: 					
Notice of Priority/ Form PTO-1449 References Cited (6)					

U.S. APPLICATION NO. (IF KNOWN) SEE 37 CFR <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/089068</div>	INTERNATIONAL APPLICATION NO. <div style="text-align: center;">PCT/SE00/01917</div>	ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">221449US8PCT</div>			
24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		<div style="text-align: center; border-bottom: 1px solid black;">CALCULATIONS PTO USE ONLY</div> <div style="border: 1px solid black; height: 100px; margin-top: 5px;"></div>			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		<div style="border: 1px solid black; text-align: center;">\$1,040.00</div> <div style="border: 1px solid black; text-align: center;">\$0.00</div>			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	40 - 20 =	20	x \$18.00	\$360.00	
Independent claims	2 - 3 =	0	x \$84.00	\$0.00	
Multiple Dependent Claims (check if applicable).				<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS				=	\$1,400.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.					\$0.00
SUBTOTAL				=	\$1,400.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$0.00
TOTAL NATIONAL FEE				=	\$1,400.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				<input type="checkbox"/>	\$0.00
TOTAL FEES ENCLOSED				=	\$1,400.00
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,400.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<div style="border: 1px solid black; padding: 10px; text-align: center;">  <div style="font-size: 24pt; font-weight: bold;">22850</div> </div>			<div style="border-bottom: 1px solid black; margin-bottom: 5px;">  SIGNATURE </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> William E. Beaumont Bradley D. Lytle </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> NAME </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> Registration Number 30,996 40,073 </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> REGISTRATION NUMBER </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> April 5, 2002 DATE </div>		

Docket No. 221449US8PCT

IN RE APPLICATION OF: Erik DAHLQVIST, et al.

SERIAL NO: New U.S. PCT Application

FILED: HEREWITH

FOR: A COMPUTER BASED METHOD AND SYSTEM FOR CONTROLLING AN INDUSTRIAL PROCESS

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: PCT Transmittal Letter/Check for \$1,400.00/Notice of Priority/Declaration
Information Disclosure Statement/Form PTO-1449/International Search Report
References Cited (6)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	40	MINUS	40	0	x \$18 =	\$0.00
INDEPENDENT	2	MINUS	3	0	x \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
TOTAL OF ABOVE CALCULATIONS						\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
TOTAL						\$0.00

- ☐ A check in the amount of \$0.00 is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
William E. Beaumont



22850

Customer Number 22850
Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/01)

Dupont Registration Number 30,996
Bradley D. Lytle
Registration No. 40,073
Surinder Sachar
Registration No. 34,423

221449US-234271-9848-8-PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
ERIK DAHLQVIST ET AL. : ATTN: APPLICATION DIVISION
SERIAL NO: NEW U.S. PCT APPLN :
(Based on PCT/SE00/01917)
FILED: HEREWITH :
FOR: A COMPUTER BASED METHOD :
AND SYSTEM FOR CONTROLLING
AN INDUSTRIAL PROCESS

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Prior to a first examination on the merits, please amend the above-identified application as follows:

IN THE CLAIMS

Please cancel Claims 1-40 without prejudice.

Please add new Claims 41-80 as follows:

41. (New) A computer based method for controlling an industrial process that includes at least two unit processes, comprising:
directly controlling the process by a control model including one or more algorithms;
delivering process data from the process to the control model;

executing an automatic diagnosis of validity of the process data retrieved from the process for preventing irrelevant process data from being used as an input in the control model.

42. (New) A method according to claim 41, wherein the process is simulated by a separate process model, and wherein the control model is updated by and provided with set point values from the separate process model.

43. (New) A method according to claim 42, wherein the control model is modified by the separate process model based on the automatic diagnosis.

44. (New) A method according to claim 42, wherein the separate process model is provided with process data from the process, and an operation of the separate process model is based on said process data.

45. (New) A method according to claim 42, wherein the separate process model is provided with information from a diagnosis device for preventing the irrelevant process data from being used as an input in the separate process model.

46. (New) A method according to claim 42, wherein the process is simulated and optimized with regard to one or more of a plurality of issues, with given constraints, by the separate process model.

47. (New) A method according to claim 41, wherein the executing an automatic diagnosis includes executing at least two predictions of a given process variable with different sets of measured variables as an input to a predicting model for executing said at least two predictions, observing a deviation between a predicted value and a measured value of said process variable, and evaluating an observed deviation pattern for deciding whether the measured value of said process variable should or should not be used as the input in at least one of the control model and the separate process model.

48. (New) A method according to claim 41, wherein the executing an automatic diagnosis includes:

measuring a value of at least two process variables at at least one location in the process;

predicting said at least two process variables by a predicting model for a relevant part of the process and with given boundary conditions;

observing a deviation between a predicted value and a measured value of each of said

at least two process variable; and

evaluating the observed deviation for deciding whether any of the measured values of said process variables should or should not be used as the input in at least one of the control model and the separate process model.

49. (New) A method according to claim 47, wherein when a specific process variable is predicted by the predicting model, the measured value of the specific process variable is excluded in the predicting model.

50. (New) A method according to claim 48, wherein values of at least three process variables are measured, predicted, and evaluated as to their individual deviations between measured and predicted values.

51. (New) A method according to claim 48, wherein a number of measured and predicted process variables is at least four; and

wherein said process variables are divided into at least two groups, said two groups having at least one common process variable, and wherein, for each respective group, deviations between measured and predicted values of the process variables of that respective group are compared.

52. (New) A method according to claim 47, wherein, upon an observation of a deviation pattern that indicates malfunctioning of a sensor, a measurement of at least one further process variable, a value of which is related to a value of the at least one further process variable measured by said sensor, is initiated and compared to a predicted value or set point value for said at least one further process variable.

53. (New) A method according to claim 47, wherein, upon an observation of a deviation pattern that indicates malfunctioning of a sensor, a function of at least one process controlling means, a function of which is related to a value of a process variable measured by said sensor, is checked.

54. (New) A method according to claim 47, wherein an overall optimization of the process is based on a result of said measurements and predictions of the process variables, evaluation of deviations, and observation of individual sensor malfunctioning.

55. (New) A method according to claim 47, wherein the predicting model comprises a physical model based on physical laws for at least one of:

a hydraulic flow in the process;

a mass balance in the process;

an energy or a temperature balance in the process.

56. (New) A method according to claim 55, wherein, upon detection of certain discrepancies between model predictions and measurements, an adjustment of the predicting model is executed based on a result of said measuring, prediction, and evaluation.

57. (New) A method according to claim 54, wherein the process is optimized with regard to at least one of product quality, economy, environmental aspects, energy consumption, and process equipment maintenance.

58. (New) A method according to claim 55, wherein the predicting model includes an empirical model for its prediction, and wherein, upon a rebuilding of the process, said empirical model is rebuilt or replaced based on predictions from said physical model.

59. (New) A method according to claim 41, wherein the process is a continuous or semi-continuous chemical process, for production of pulp and paper or board.

60. (New) A computer based system for controlling an industrial process including at least two unit processes, said system comprising:

a control model including one or more algorithms for directly controlling the process;

means for delivering process data from the process to the control model, operation of the control model being based on said process data;

diagnosis means for executing an automatic diagnosis of validity of the process data retrieved from the process for preventing irrelevant process data from being used as an input in the control model.

61. (New) A computer based system according to claim 60, further comprising a process model for simulating the process, said process model configured to update the control model and provide the control model with set point values for its operation.

62. (New) A computer based system according to claim 62, wherein the process model is configured to modify the control model based on process data validity information from the diagnosis means.

63. (New) A computer based system according to claim 61, further comprising means for providing the process model with process data, and an operation of the process model is based on said process data.

means for evaluating observed deviations for deciding a validity of the measured values of said process variables as an input to at least one of the control model and the process model.

68. (New) A computer based system according to claim 67, wherein values of at least three process variables are measured, predicted, and evaluated as to their deviations.

69. (New) A computer based system according to claim 66, wherein the predicting means excludes a measurement of a certain process variable as an input when predicting a value of that certain process variable.

70. (New) A computer based system according to claim 67, wherein a number of predicted process variables is at least four, wherein said process variables are divided into at least two groups having at least one common process variable, and further comprising means for comparing and evaluating, for each respective group, deviations between predicted and measured values of the variables of that respective group.

71. (New) A computer based system according to claim 60, wherein the measured values are measurements, by sensors, and wherein evaluating means observe a deviation pattern that indicates malfunctioning of any of the sensors.

72. (New) A computer based system according to claim 71, further comprising means for initiating a measurement of at least one further process variable, a value of which is related to a value of the variable measured by one of the sensors, upon observation of said deviation pattern indicating a malfunctioning sensor.

73. (New) A computer based system according to claim 71, further comprising means for initiating a checking of a function of at least one process controlling means, a function of which is related to a value of the process variable measured by one of the sensors, upon observation of said deviation pattern indicating a malfunctioning sensor.

74. (New) A computer based system according to claim 66, wherein the predicting means includes a model based on physical laws for at least one of a hydraulic flow in the process, a mass balance in the process, and an energy balance in the process.

75. (New) A computer based system according to claim 74, wherein the evaluating means adjusts the physical model upon observation of a certain discrepancy between model predictions and sensor measurements.

76. (New) A computer based system according to claim 61, wherein the process model for simulating the process is configured to execute an overall optimization of the process based on the process data delivered to it as an input and as a result of measurements and predictions of process variables, evaluation of deviations, and observation of individual sensor malfunctioning by the diagnosis means.

77. (New) A computer based system according to claim 76, wherein the process model is configured to optimize the process with regard to at least one of product quality, total economy, environmental aspects, energy consumption, and maintenance of process equipment.

78. (New) A computer based system according to claim 61, wherein the process is a continuous or semi-continuous chemical process.

79. (New) Use of a computer based system according to claim 61 for controlling an industrial process.

80. (New) Use of a computer based system according to claim 61 for controlling a process for manufacturing pulp and paper or board.

IN THE ABSTRACT

Please add the following new Abstract on a separate sheet as follows:

ABSTRACT

A computer based method for controlling an industrial process that includes at least two unit processes, including the steps of directly controlling the process by a control model including one or more algorithms, and automatic diagnosis of the validity of the process data retrieved from the process for the purpose of preventing irrelevant process data from being used as an input in the control model.

REMARKS

Favorable consideration of this application, as presently amended, is respectfully requested.

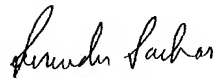
The present Preliminary Amendment is submitted to place the above-identified application in more proper format under United States practice. By the present Preliminary Amendment original Claims 1-40 are cancelled and new Claims 41-80 are presented for examination. New Claims 41-80 are deemed to be self-evident from the original disclosure, including original Claims 1-40, and thus are not deemed to raise any issues of new matter. Further, new Claims 41-80 are not believed to be more narrow in scope in any aspect in comparison with original Claims 1-40.

An Abstract is also submitted herein.

The present application is believed to be in condition for a full and thorough examination on the merits. An early and favorable consideration of the present application is hereby respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Gregory J. Maier
Attorney of Record
Registration No. 25,599
Surinder Sachar
Registration No. 34,423



22850

Tel.: (703) 413-3000
Fax: (703) 413-2220
GJM:SNS\la
I:\atty\SNS\221449us-pr.wpd

[illegible]

Marked-Up Copy
Serial No:

Amendment Filed on:
4-5-2002

IN THE CLAIMS

Claims 1-40 (Cancelled).

Claims 41-80 (New).

IN THE ABSTRACT

Abstract (New).

5 **A COMPUTER BASED METHOD AND SYSTEM FOR CONTROLLING AN INDUSTRIAL PROCESS**

FIELD OF THE INVENTION

10 The present invention relates to a computer based method for controlling an industrial process which comprises at least two unit processes comprising the steps of directly controlling the process by means of a model comprising one or more algorithms and delivering process data from the process to the control
15 model.

20 The invention also relates to a computer based system for controlling an industrial process which comprises at least two unit processes, said system comprising a model comprising one or more algorithms for directly controlling the process and means for delivering process data from the process to the control model, the operation of the control model being based on said process data.

25 The industrial process is preferably continuous or semi-continuous and may contain continuous, semi-continuous, and/or discontinuous unit processes, but is still regarded as being continuous or semi-continuous. Even though the invention is applicable to all kinds of continuous and semi-continuous processes, it is
30 particularly used for processes in connection to the manufacture of chemical, petrochemical metal, and polymer products, and pulp and paper production, in which there is a need of controlling the stream compositions in order to obtain the required product properties. The process might also be a process in a power plant.
35 Typical unit processes in a process for the manufacture of pulp and paper are coking, bleaching, stock preparation etc.

5

BACKGROUND OF THE INVENTION AND PRIOR ART

As the invention is applicable to processes for the manufacture of pulp and paper or board, the invention will be described with
10 reference to such processes.

Material producers, such as producers of pulp, paper, and board, are facing a rapidly changing world. They must meet increasing customer demands for a specific grades in smaller batches.
15 Products must meet quality demands specified within narrow limits. The increased environmental awareness is met by large efforts, not only to meet the more stringent demands and regulations set on their activity, but also to minimise the impact of their influence on the environment. Increased recycling within the production process as well as an increased use of reclaimed matter
20 as raw material or additions to the process also put higher demand of the control and supervision of process. Additionally, these producers face a tougher competition, which can only be met with high-quality products.

25

The product quality is dependent on many parameters which are influenced by the raw material supply to the process, especially the consistency of raw material supply, the additions made during treatments, and the production conditions during these treatments.
30 Thus, the product quality can change substantially throughout these processes. Therefore, methods for process control need to employ a system with sensors or devices for sampling throughout the process line and means to execute measurements and sampling, means to collect, collate, and process information obtained from the process, and means to execute
35 corrective actions in the process.

For processes containing two or more unit processes, an overall optimisation of the process is required. The optimisation of the process includes an optimisation of, for example, the product quality, the total economy, environmental aspects, energy consumption, maintenance of product equipment, etc. A typical example of an optimisation is an optimisation of the process in order to find optimal set-points for pumps, valves, etc. in the process to achieve a certain production volume during a given period of time under the given conditions. Thereby, the optimisation includes the downloading of tank levels, temperatures, etc. on-line from a process computer system into a computer, and using the downloaded values together with algorithms adapted for the optimisation and control of the process.

Normally, the values of a plurality of variables in the process will be measured by means of sensors and used as input to the algorithms for the optimisation and control of the process. However, every now and then, such measurements will be incorrect due to the malfunctioning of the sensors. Some deviation between measured values and the predicted or real values of the variables in question can be tolerated, but when the error is above a certain level due to the malfunctioning of a sensor, that value should not be used in the optimisation algorithm or in the control model of a control system, and the sensor in question should be replaced or repaired in order to make it possible to provide the control system with correct measurements.

Therefore, prior art has proposed different ways of tracking, identifying, and analysing the malfunctioning or defective sensors. Prior art also suggests ways of tracking, identifying, and analysing a malfunctioning or defective actuator or controller, or production unit or device.

However, prior art only suggests methods and/or systems that, upon detection of such malfunctioning, provide alarms, but do not

propose specific steps or measures taken by the used software of a control system in order to upgrade or adjust itself with regard to malfunctioning sensors or process variations that initially might lead to one or more sensors being deemed as malfunctioning.

OBJECTS OF THE INVENTION

One object of the present invention is to provide a computer based method and system which permits a reliable on-line simulation of and control of an industrial system. The inventive method and system should be suited for advanced model based control of an industrial process. In particular, the invention shall devise ways of detecting and handling erroneous measurements, in particular in-line measurements by sensors, in the process in order to maintain a proper and redundant computer based control of the process.

SUMMARY OF THE INVENTION

This object is achieved by means of the initially defined method, which is characterised in that it comprises the further step of executing an automatic diagnose of the validity of the process data retrieved from the process for the purpose of preventing irrelevant process data from being used as input in the control model.

Preferably, the process is simulated by means of a separate process model which updates the control model and provides it with set point values for its operation. Preferably, the process is optimised by means of the process model which, with given constraints, will provide the control model with suitable set point values for said optimisation with regard to different issues, such as total economy, environmental aspects, product quality, maintenance of process equipment, etc. Preferably, the process model is adapted to modify or replace essential parts of the control

model upon reception of certain information, in particular information concerning irrelevant process data that should not be used for the operation of the control model.

- 5 According to the inventive method, the process model is provided with process data from the process, the operation of the process model being based on said process data. At least some of said process data is retrieved from the process by means of sensors for in-line, on-line and/or at-line measurement, for example the
10 same measurements as those used as input to the control model. Preferably, the process model is provided with information from the diagnose for the purpose of preventing irrelevant process data from being used as input in the process model. Hence, the process model, as well as the control model, is continuously up-
15 graded on-line in order to prevent it from using incorrect or irrelevant process data for its operation.

- The present invention should provide a computer based method and system which devise a way of diagnosing the performance of
20 sensors in a process, so that the accuracy of the measurements made by said sensors can be validated. The system and method according to the invention should also be adapted as to provide information about the relevance of certain measured values of process variables in order to make it possible to automatically
25 decide whether certain measured values should or should not be included in models for the overall optimisation and control of the process, and for the purpose of making it possible to decide whether a certain sensor should be repaired or replaced due to malfunctioning. The same applies for defective or malfunctioning
30 process equipment in general.

- According to the invention, the above object is achieved by the initially defined method, which is characterised in that the automatic diagnose comprises the steps of executing at least two
35 predictions of a given process variable with different sets of measured variables as input to a model for executing said pre-

dictions, observing any deviation between the predicted values and the measured value of said process variable, and evaluating the observed deviation pattern for the purpose of deciding whether the measured value of said variable should or should not
5 be used as input in the control model and/or the process model.

Alternatively, the above object is achieved by the initially defined method, which is characterised in that it comprises the further
10 steps measuring a value of at least two process variables at at least one location in the process, predicting said at least two variables by means of a model for a relevant part of the process, with given boundary conditions, observing any deviation between the measured and predicted value of each process variable, and
15 comparing and evaluating the observed deviations for the purpose of deciding whether the measured values of said variables should or should not be directly used as input to the control model and/or process model. Preferably, each prediction is based on a certain set of input data, i.e. measurements, excluding the measured value of the variable to be predicted. If the val-
20 ues of two variables are measured and predicted, and the measured variables deviate in the same, but acceptable way from the predicted values, it is reasonable to make the conclusion that the sensors for measuring are functioning. If, on the other hand, one
25 of the deviations differs remarkably in any way, then one cannot be sure which of the sensors is malfunctioning, or if there is any other disturbance in the process affecting the measured value.

Therefore, according to a preferred embodiment of the invention,
30 the values of at least three process variables are measured, predicted, and compared as to their deviations between measured and predicted variables. By comparing the deviations of at least three process variables, the detection of a malfunctioning sensor can be done with significantly improved reliability. If the deviation
35 of one of the variables differs significantly from the deviations of the other variables, it is probably due to malfunctioning of the

corresponding sensor or due to malfunctioning of some specific process equipment, the function of which is related to the value of the variable in question. A certain process disturbance or variation might also be the reason for a deviation pattern erroneously indicating a malfunctioning sensor.

In order to further improve the reliability of the conclusions based on the comparison of the deviations for the variables in question, it is suggested that the number of measured and predicted process variables are at least four, preferably at least five, and that said process variables are divided into at least two groups, said groups having at least one common process variable, and that, for each group, the deviations between measured and predicted values of the variables of that group are compared and evaluated. Hence, if the deviation of such a common process variable differs significantly from the deviations of the other process variables in both groups that it belongs to, this will most probably be due to the malfunctioning of the sensor measuring the value of said variable. However, if the deviation of the variable in question differs significantly from the deviations of the variables in one of the groups to which it belongs, but not from the deviations of a second group to which it belongs, this is probably not due to malfunctioning of the sensor in question. In order to further develop the inventive method, the values of a plurality of process variables are measured and predicted, and the variables are divided into a plurality of groups.

For the purpose of further improving the method, based on which a computer based automatic diagnostic system can be built, the invention suggests that, upon the observation of a deviation pattern which indicates the malfunctioning of a sensor, a measurement of at least one further process variable, the value of which is related to the value of the variable measured by said sensor is initiated. Accordingly, the value of said further process variable is not continuously or repeatedly measured. For example, this variable might be one that has to be measured off-line by means

of lab measurements. Accordingly, the invention suggests the initiation of such further measurements primarily only upon the observation of a deviation pattern indicating the malfunctioning of a certain sensor. Furthermore, the invention suggests that, upon
5 observation of such a deviation pattern, the function of at least one process controlling means, the function of which is related to the value of the variable measured by said sensor, is checked. Such a process controlling means could be a valve, a pressure generator, a pump, or the like for a given process, for example a
10 process for pulp and paper production.

The process variables, the values of which are measured and predicted can be concentrated to one and the same unit process. However, when the process, and an automatic diagnostic system
15 built thereon, is more developed, the values of process variables in more than one, preferably all unit processes are measured and predicted. The values, the deviations of which are compared to each other are normally measured in one and the same unit process. However, as an alternative, deviations or process variables
20 measured in different unit processes are executed in certain cases when this is found advantageous for the diagnose.

The inventive method includes a simulation and an overall optimisation of the process, said simulation and optimisation being
25 based on information concerning the result of said measurements and predictions of the process variables, the comparison of the deviations, and the observation of individual sensor malfunctioning, equipment malfunctioning, and/or process disturbances. The simulation and optimisation are executed by means of one or
30 more algorithms as described earlier in this application. The process is optimised with regard to any one or a combination of issues such as product quality, economy, environmental aspects, energy consumption, and maintenance. The maintenance optimisation preferably concerns when certain sensors and certain process
35 equipment should be repaired or replaced. The simulation and optimisation serve to set the set-points for a plurality of vari-

ables in the process with regard to what is being optimised. Here, the simulation and optimisation is executed by the process model, which applies set-points to the control model.

- 5 The invention also comprises the use of so-called soft sensors. Such soft sensors could include models for predicting certain product qualities based on certain process variable values. In the case of a process for the production of pulp and paper, the soft sensing could include the prediction of, for example, paper
10 strength based on the measurement of fibre size, distribution, NIR spectra, refiner energy input, and the like. Thanks to the inventive method, based on which an automatic diagnostic system can be built, such soft sensing can be more reliable. Preferably, the soft sensing plays a vital role for the optimisation of
15 the process, for example for the optimisation with regard to the product quality.

According to one embodiment, the inventive method comprises a step of predicting the future value or values of certain process
20 variables by means of an empirical model for one or more unit processes. This statistic-depending empirical model may be used to make adjustments of the physical model of the process. However, upon a rebuilding of the process, said empirical model is rebuilt based on the predictions made by means of a physical
25 model.

The invention also refers to a computer based system as initially defined, which is characterised in that it comprises means for executing an automatic diagnose of the validity of the process
30 data retrieved from the process for the purpose of preventing irrelevant process data from being used as input in the control model.

The diagnose means comprises measuring means that comprise
35 sensors for in-line, on-line and/or at-line measurement. It also comprises predicting means, observing means, and means for

comparing and evaluating the observed deviations, said means preferably being arranged as software in a computer. The inventive system also comprises means arranged as a software in a computer for executing all other steps of the inventive method as defined above. Accordingly, the inventive system comprises a system for automatic diagnose of the process in question. It may also comprise empirical models or algorithms for the optimisation of the process based on information from the automatic diagnose system.

10

Further features and advantages of the inventive method and system will be presented in the following description and in the enclosed, dependent claims.

15 BRIEF DESCRIPTION OF THE DRAWINGS

Hereinafter, the invention will be described by way of example with reference to the annexed drawings, on which:

- 20 Fig. 1 is a schematic flow chart showing the essential ingredients in the inventive method and system,
fig. 2 is a more detailed, but still schematic flow chart of a diagnose means according to the invention,
figs. 3a-c are examples of deviation patterns observed and treated by the diagnose means, and
25 fig. 4 is an example of a deviation pattern observed and treated in an alternative way by the diagnose means.

30

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Fig. 1 shows a schematic flow chart of one embodiment of the inventive computer based system for controlling an industrial process P which comprises at least two unit processes UP1, UP2, UP3, see also fig. 2. Here, the process P is a process for the production of pulp and paper or board. The unit processes UP1-3 could be the processes of a boiler, a digester, or any unit

35

process normally present in such a process P. The unit processes UP1-3 may be continuous, semi-continuous, or discontinuous processes. The process P, however, is continuous or semi-continuous.

5

The inventive system comprises a control model 1 comprising one or more algorithms for controlling the process P. For this purpose, the control model needs to be updated with process data from the process P. Therefore, the inventive system comprises means 2-8 for delivering process data from the process P to the control model 1, the operation of the model being based on said process data. Such means for delivering process data include a plurality of sensors 2-8, preferably arranged for in-line, on-line and/or at-line measurement of a plurality of process variables Q_i , T_i .

10
15

According to figs. 1 and 2, the system also comprises means 11 for executing an automatic diagnose of the validity of the process data retrieved from the process P by the measuring means 2-8 for the purpose of preventing irrelevant process data from being used as input in the control model 1. The diagnose means 11 will be explained more in detail later with reference to fig. 2. However, one of its main tasks is to prevent process data retrieved by malfunctioning sensors from being used as input in the control model 1.

20
25

The system also comprises a separate process model 12 for simulating the process. The process model 12, arranged as software in a computer, may include one or more physical models and/or empirical models for simulating the process. The process model 12 is adapted to update the control model 1, or, more precisely, provide the control model 1 with set point values for its operation. The set point values are set point values for different process variables or parameters. The process model 12 is adapted to simulate and optimise the process P with regard to one or more of a plurality of issues, such as product quality, pro-

30
35

cess economy, environmental aspects, etc., with given constraints.

5 The system also comprises means 2-8, shown in fig. 2, for providing the process model with process data which is used by the process model 12 for its operation. Said means 2-8 include a plurality of sensors, preferably arranged for in-line or on-line measurement of a plurality of process variables. According to the invention, the diagnose means 11 is adapted to provide the
10 process model 12 with information for the purpose of preventing irrelevant process data from being used as input in the process model 12. Typical such irrelevant or incorrect process data is process data delivered from malfunctioning sensors.

15 The diagnose means 11, shown in detail in fig. 2, plays a vital role in the system, and will therefore be described more in detail hereinafter.

The diagnose means 11 comprises a model 13 for predicting the
20 values of two or more, preferably a plurality of process variables \hat{Q}_i , \hat{T}_i corresponding to the variables the state or values of which are measured by means of said means or sensors 2-8. For this purpose, the predicting model 13 is supplied with process data from the means 2-8. However, when the predicting model 13 predicts the value, for instance \hat{Q}_i , \hat{T}_i of a certain variable, it executes its prediction without regard to the measured value Q_i , T_i of that specific variable. The predicting model 13 will be discussed
25 more in detail later.

30 The system comprises means 14, 15, 16 for observing any deviation between the measured and the predicted value of each measured process variable. The means 14, 15, 16 preferably comprise comparators solely for comparing predicted values \hat{Q}_i , \hat{T}_i and measured values Q_i , T_i for the process variable over a
35 given time-period.

The system also comprises a means 17 for comparing the observed deviations $\hat{Q}_i - Q_i$, $\hat{T}_i - T_i$ for the purpose of deciding the validity of the measured values, and in order to make it possible to decide whether the measured values of said variables should or should not be directly used as input to the control model 1 and the process model 12. In reality, a deviation pattern 21-23 will be supplied to the evaluating means 17 from the observing means 14, 15, 16, and the evaluating means 17 is arranged to evaluate the given pattern and observe deviations that make the pattern illogical. Upon an observation of such an illogical deviation pattern which, for example, indicates the malfunctioning of one or more sensors 2-8, the evaluating means 17 is adapted to activate a means 18 for initiating a measurement of at least one further process variable L, the value of which is related to the value of the variable or variables Q_i , T_i measured by said sensor or sensors deemed to be malfunctioning, for the purpose of checking whether it really is the sensor or sensors in question that are malfunctioning or if there is any disturbance in the process which has lead to the illogic deviation pattern. For example, a further process variable to be measured upon such an observation might be the level L of a specific liquid in a given tank in one process unit. Here, such a measurement is executed by means of a sensor denoted 9 in unit process UP3. The measured value of this further variable is preferably compared to a predicted value thereof, or a given set point value for said variable, and any deviation between the measured value L of the further variable and its predicted value or set point value is supplied to the evaluating means 17. The evaluating means 17 is then adapted to analyse a new deviation pattern with regard to the result of the measurement of the further variable, in order to make sure if the original, illogical deviation pattern is due to malfunctioning sensors or due to some process error detected through the measurement of the further variable. For this purpose, the predicting model is supplied with the value L of the further variable, in order to take it into account when making new predictions.

The system preferably also comprises means 19 for initiating a check of the function of at least one process controlling means 20, the function of which is related to the value Q_i , T_i of the variable or variables measured by the sensor or sensors that, according to the illogical deviation pattern seems or seem to be malfunctioning. Such a process controlling means could, for example, be a certain valve in the outlet of a tank. The initiating means 19 could, for example, initiate a measurement of the valve opening by means of a certain sensor like a flow meter, here a sensor denoted 10 as well as a valve position. Upon detection of a malfunctioning process controlling means, for example the valve 20, the system, preferably the evaluating means 17, is adapted to emit an alarm for the purpose of initiating either automatic or manual measures with regard to the concerned process controlling means 20.

Based on the result of its evaluating operation, the evaluating means 17 is adapted to provide information concerning malfunctioning sensors or process disturbances or errors to the control model 1 and the process model 12, respectively. Accordingly, the diagnose means 11 defines an advanced, automatic diagnose system used for the purpose of preventing incorrect process data from being used as input in the control model 1 and the process model 12.

According to the embodiment shown in fig. 2, the measured process data are divided into a plurality of groups, and a deviation pattern is observed for each group. Every group has at least one process variable common with another group. In fig. 2, this is indicated by the variables measured by the measuring means 4 and 6. By gathering the measured process data in different groups with predetermined data common for different groups, individual deviation patterns for each group can be observed by the means 14, 15, 16, and then handled by the evaluating means 17. By dividing the process data in groups in the described way, the deviations are presented to the evaluating means 17 in a way

which promotes an efficient and reliable analysis by the evaluating means 17.

5 In fig. 3a-c \hat{Q}_i indicates different predicted pressures, while \hat{T}_i indicates different predicted temperatures. However, it should be understood that different systems according to the invention may use completely different values, and that this is only a very simple example of the principles of how to treat measured variables. Q_i and T_i indicates the measured values of said variables.

10 Fig. 4 shows an alternative deviation pattern. Here, the predicting model 13 uses different sets of process data for prediction of \hat{Q}_1 . For example, for \hat{Q}_{1A} , the model is adapted to make its prediction only with regard to a first set of measured variables. For
15 the prediction of \hat{Q}_{1B} , it uses a modified set of measured variables, different from the one used when predicting \hat{Q}_{1A} . Accordingly, \hat{Q}_{1C} , \hat{Q}_{1D} , and \hat{Q}_{1E} are all predicted with modified, i.e. different, sets of measured variables as input to the model 13. Alternatively, this could be regarded as a use of different, modified
20 models in order to predict \hat{Q}_1 . Preferably, the evaluating means 17 is adapted to analyse the deviation pattern of this kind. It should be understood that, for such a solution the observing means 14, 15, 16 could be regarded as modified and integrated with the evaluating means 17.

25 In fig. 2, it is only shown, by way of example, with dashed lines from the sensors 2 and 3 to the predicting model 13 that process data is delivered from the measuring means 2-10 to the predicting model 13 as input to the latter. Preferably, the system permits measured variables from each of the means 2-10 to be delivered to the predicting model 13.

35 Preferably, the predicting model is based on physical laws for at least one of a hydraulic flow in the process, a mass balance in the process, and an energy, or temperature, balance in the process. Nevertheless, the model may also, when found suitable,

comprise one or more empirical models for executing the necessary predictions.

5 Upon detection of certain discrepancies between model predictions and sensor measurements that cannot be related to be as due to process errors or malfunctioning sensors, an adjustment or upgrading of the model might be executed. When the predicting model 13 includes a physical model and an empirical model, the physical model is adapted to rebuild or replace the empirical
10 model upon a rebuilding of the process. The rebuilding or replacement of the empirical model is based on predictions from the physical model.

15 Thanks to the invention, it will be possible to do on-line prediction of non-measured properties in a line for production of pulp and paper. Such properties could be yield, fibre or paper strength, bleachability, etc. It will be possible to measure different in-line and on-line properties, and correlated to lab measurements of the actual properties. The analysis of the reliability
20 of these predictions can then be made by this signal check, as outlined above. New empirical models can be constructed where only reliable data can be identified automatically, without having to do a lot of manual checks.

25 By correlating the in-line, on-line and/or at-line measurements to on-line sampling measurements as well as lab measurements it is also possible to determine when the deviations are due to sensor problems or to process variations influencing the measurement. By measuring, for example NIR spectra, UV and other
30 spectra further process parameters can be indirectly measured, and a state of the process be defined. Based on such measurements, and by simulating the process by means of the simulator, it will be possible to follow the passage of a certain batch through the line, and adjust for dilution, different reactions, etc.
35 The new information is added to the knowledge in the simulator,

and also process variation can be both predicted and compensated for in an adaptive way.

5 Of course, a plurality of embodiments of the invention will be obvious for a man skilled in the art without thereby leaving the scope of the invention as defined in the appended claims, supported by the description and the drawings.

10 Preferably, the predictions carried out by means of the predicting model 13 include the use of multi-variate data analysis and/or neural networks.

15 By permitting repeated modification of the process model 12, control model 1, and predicting model 13 based on the output from the automatic diagnose means 11, a very redundant control system is obtained. Preferably, all or almost all measures taken by the system are implemented by means of software in a computer environment.

Claims

1. A computer based method for controlling an industrial process P which comprises at least two unit processes (UP1, UP2, UP3), comprising the steps of
 - directly controlling the process by means of a model (1) comprising one or more algorithms,
 - delivering process data (Q_i , T_i) from the process (P) to the control model (1),
- 10 characterised in that it comprises the further step of
 - executing an automatic diagnose of the validity of the process data retrieved from the process (P) for the purpose of preventing irrelevant process data from being used as input in the control model (1).
- 15 2. A method according to claim 1, characterised in that the process is simulated by means of a separate process model (12), and that the control model (1) is updated by and provided with set point values from the process model (12) for its operation.
- 20 3. A method according to claim 2, characterised in that the control model is modified by means of the process model based on the automatic diagnose.
- 25 4. A method according to claim 2 or 3, characterised in that the process model (12) is provided with process data (Q_i , T_i) from the process (P), the operation of the process model being based on said process data.
- 30 5. A method according to any one of claims 2-4, characterised in that the process model (12) is provided with information from a diagnose means (11) for the purpose of preventing irrelevant process data from being used as input in the process model (12).
- 35 6. A method according to any one of claims 2-5, characterised in that the process is simulated and optimised with regard to one

or more of a plurality of issues, with given constraints, by means of the process model (12).

7. A method according to any one of claims 1-6, characterised in that the automatic diagnose comprises the steps of executing at least two predictions (\hat{Q}_{1A} , \hat{Q}_{1B} , \hat{Q}_{1C} , \hat{Q}_{1D} , \hat{Q}_{1E}) of a given process variable with different sets of measured variables (Q_i , T_i) as input to a model (13) for executing said predictions, observing any deviation ($\hat{Q}_{1A}-Q_1 \dots \hat{Q}_{1E}-Q_1$) between the predicted value and the measured value of said process variable, and evaluating the observed deviation pattern for the purpose of deciding whether the measured value (Q_1) of said variable should or should not be used as input in the control model (1) and/or the process model (12).
8. A method according to any one of claims 1-6, characterised in that the automatic diagnose comprises the steps of
- measuring a value (Q_i , T_i) of at least two process variables at at least one location in the process (P),
 - predicting said at least two variables (\hat{Q}_i , \hat{T}_i) by means of a model (13) for a relevant part of the process (P) and with given boundary conditions,
 - observing any deviation (\hat{Q}_i-Q_i , \hat{T}_i-T_i) between the predicted value and the measured value of each process variable, and
 - evaluating the observed deviations for the purpose of deciding whether any of the measured values (Q_i , T_i) of said variables should or should not be used as input in the control model (1) and/or the process model (12).
9. A method according to claim 7 or 8, characterised in that when a specific variable (\hat{Q}_i , \hat{T}_i) is predicted by means of the predicting model (13), the measured value (Q_i , T_i) of that variable is excluded in the predicting model (13).
10. A method according to claim 8 or 9, characterised in that the values of at least three process variables are measured, pre-

dicted, and evaluated as to their individual deviations between measured and predicted values.

11. A method according to claim 8 or 9, characterised in that the
5 number of measured and predicted process variables are at least four, preferably at least five, in that
- said process variables (Q_i , T_i) are divided into at least two groups (21, 22, 23), said groups (21-23) having at least one common process variable (Q_2 , Q_3), and in that, for each group
10 (21-23), the deviations between measured and predicted values of the variables of that group are compared.

12. A method according to any one of claims 7-11, characterised in that, upon observation of a deviation pattern which indicates
15 the malfunctioning of a sensor (2-8), a measurement of at least one further process variable (L), the value of which is related to the value (Q_i , T_i) of the variable measured by said sensor (2-8) is initiated and compared to a predicted value or set point value for said further variable (L).
20

13. A method according to any one of claims 7-12, characterised in that, upon an observation of a deviation pattern which indicates the malfunctioning of a sensor (2-8), the function of at least one process controlling means (20), the function of which is related to the value of the variable measured by said sensor, is checked.
25

14. A method according to any one of claims 7-13, characterised in that an overall optimisation of the process (P) is based on the
30 result of said measurements and predictions of the process variables (Q_i , T_i), evaluation of deviations, and observation of individual sensor malfunctioning.

15. A method according to any one of claims 7-14, characterised in that the predicting model (13) comprises a physical model
35 which is based on physical laws for at least one of

- a hydraulic flow in the process (P),
- a mass balance in the process (P),
- an energy or a temperature balance in the process (P).

5 16. A method according to claim 15, characterised in that, upon detection of certain discrepancies between model predictions and measurements, an adjustment of the physical model (13) is executed based on the result of said measuring, prediction, and evaluation.

10 17. A method according to claim 14, characterised in that the process is optimised with regard to any or a combination of product quality, economy, environmental aspects, energy consumption, and process equipment maintenance.

15 18. A method according to claim 15, characterised in that the predicting model (13) comprises an empirical model for its prediction, and in that, upon a rebuilding of the process (P), said empirical model is rebuilt or replaced based on predictions from
20 said physical model.

25 19. A method according to any one of claims 1-18, characterised in that the process is a continuous or semi-continuous chemical process, preferably for the production of pulp and paper or board.

20. A computer based system for controlling an industrial process (P) which comprises at least two unit processes (UP1, UP2, UP3), said system comprising

- 30 - a model (1) comprising one or more algorithms for directly controlling the process (P),
- means (2-10) for delivering process data from the process (P) to the control model (1), the operation of the control model (1) being based on said process data, characterised in that it
35 comprises

- means (11) for executing an automatic diagnose of the validity of the process data retrieved from the process (P) for the purpose of preventing irrelevant process data from being used as input in the control model (1).

5

21. A computer based system according to claim 20, characterised in that it comprises a process model (12) for simulating the process (P), said process model (12) being adapted to update the control model (1) and provide it with set point values for its operation.

10

22. A computer based system according to claim 12, characterised in that the process model (12) is adapted to modify the control model (1) based on process data validity information from the diagnose means (11).

15

23. A computer based system according to claim 21 or 22, characterised in that it comprises means (2-10) for providing the process model (12) with process data, the operation of the process model (12) being based on said process data.

20

24. A computer based system according to any one of claims 21-23, characterised in that the diagnose means (11) is adapted to provide the process model (12) with information for the purpose of preventing irrelevant process data from being used as input in the process model (12).

25

25. A computer based system according to any one of claims 21-24, characterised in that the process model (12) is adapted to simulate and optimise the process with regard to one or more of a plurality of issues, with given constraints.

30

26. A computer based system according to any one of claims 20-25, characterised in that the diagnose means (11) comprises

- means (2-8) for measuring a value (Q_i , T_i) of at least two process variables at at least one location in the process (P),

35

- means (13) for predicting the values (\hat{Q}_{1A} , \hat{Q}_{1B} , \hat{Q}_{1C} , \hat{Q}_{1D} , \hat{Q}_{1E}) of at least one process variable with different sets of measured variables (Q_i , T_i) as input to a predicting model (13),
- means (17) for observation of any deviation ($\hat{Q}_{1A}-Q_1$, ... $\hat{Q}_{1E}-Q_1$) between the predicted and measured values of said process variable and for evaluation of the observed deviations for the purpose of deciding the validity of the measured value (Q_1) of said process variable as input to the control model (1) and/or process model (12)

10

27. A computer based system according to any one of claims 20-25, characterised in that the diagnose means (11) comprises

- means (2-10) for measuring a value (Q_i , T_i) of at least two process variables at at least one location in the process (P),
- means (13) for predicting the values (\hat{Q}_i , \hat{T}_i) of said at least two variables by means of a model (13) for a relevant part of the process (P) and with given boundary conditions,
- means (14-16) for observation of any deviation (\hat{Q}_i-Q_i , \hat{T}_i-T_i) between the measured and predicted values of each process variable, and
- means (17) for evaluation of the observed deviations for the purpose of deciding the validity of the measured values of said variables (Q_i , T_i) as input to the control model (1) and/or process model (12).

25

28. A system according to claim 27, characterised in that the values of at least three process variables (Q_i , T_i) are measured, predicted, and evaluated as to their deviations ($\hat{Q}_i - Q_i$, $\hat{T}_i - T_i$).

29. A computer based system according to any one of claims 26-28, characterised in that the predicting means (13) is arranged to exclude the measurement of a certain variable (Q_i , T_i) as input when predicting the value of that specific process variable (Q_i , T_i).

35

30. A system according to any one of claims 27-29, characterised in that the number of predicted process variables (\hat{Q} , \hat{T}_i) are at least four, preferably at least five, in that said process variables are divided into at least two groups (21-23) having at least one common process variable (Q_2 , Q_3), and in that it comprises means (17) for comparing and evaluating, for each group (21-23) the deviations ($\hat{Q}_i - Q_i$, $\hat{T}_i - T_i$) between predicted and measured values of the variables of that group (21-23).
31. A computer based system according to any one of claims 20-30, characterised in that the measured values (Q_i , T_i) are measurements, preferably in-line, on-line, and/or at-line measurements, by sensors (2-8) and in that evaluating means (17) are adapted to observe a deviation pattern which indicates the malfunctioning of any sensor (2-8).
32. A system according to claim 31, characterised in that it comprises means (18) for initiating a measurement of at least one further process variable (L), the value of which is related to the value of the variable measured by said sensor (2-8), upon observation of said deviation pattern indicating a malfunctioning sensor.
33. A system according to claim 30 or 31, characterised in that it comprises means (19) for initiating a checking of the function of at least one process controlling means (20), the function of which is related to the value (Q_i, T_i) of the variable measured by said sensor (2-8), upon observation of said deviation pattern indicating a malfunctioning sensor.
34. A system according to any one of claims 26-33, characterised in that the predicting means (13) comprises a model based on physical laws for at least one of a hydraulic flow in the process, a mass balance in the process, and an energy balance in the process.

35. A system according to claim 34, characterised in that the evaluating means (17) is adapted to adjusting the physical model (13) upon observation of a certain discrepancy between model predictions and sensor measurements.

5

36. A system according to any one of claims 21-35, characterised in that the process model (12) for simulating the process (P) is adapted for executing an overall optimisation of the process (P) based on the process data (Q_i , T_i , L) delivered to it as input and as a result of measurements and predictions of process variables (Q_i , T_i), evaluation of deviations and observation of individual sensor malfunctioning by the diagnose means (11).

10

37. A system according to claim 36, characterised in that the process model (12) is adapted for optimising the process with regard to at least one of product quality, total economy, environmental aspects, energy consumption, or maintenance of process equipment.

15

38. A system according to any one of claims 21-37, characterised in that the process is a continuous or semi-continuous chemical process, preferably for the manufacture of pulp and paper or board.

20

39. Use of a system according to any one of claims 21-38 for controlling an industrial process.

25

40. Use of a system according to any one of claims 21-38 for controlling a process for manufacturing pulp and paper or board.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



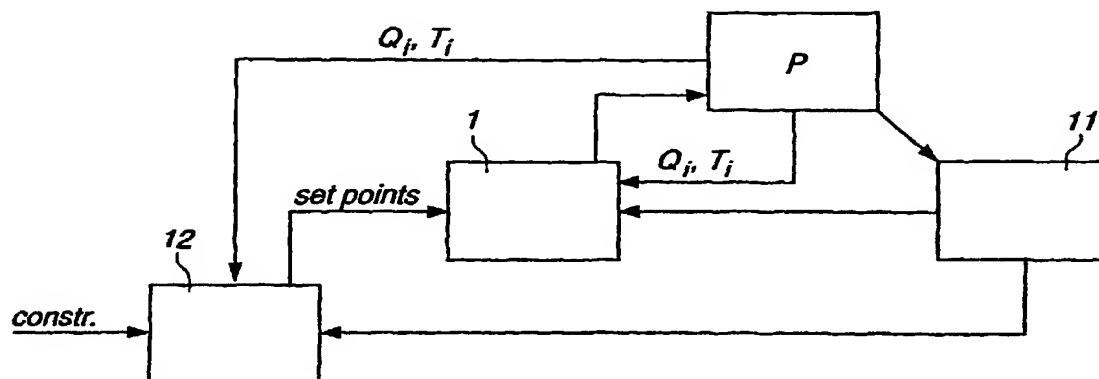
(43) International Publication Date
12 April 2001 (12.04.2001)

PCT

(10) International Publication Number
WO 01/25862 A1

- (51) International Patent Classification⁷: **G05B 13/04**, 17/02
- (21) International Application Number: **PCT/SE00/01917**
- (22) International Filing Date: **4 October 2000 (04.10.2000)**
- (25) Filing Language: **English**
- (26) Publication Language: **English**
- (30) Priority Data:
9903606-3 5 October 1999 (05.10.1999) SE
- (71) Applicant (for all designated States except US): **ABB AB** [SE/SE]; S-721 83 Västerås (SE).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **DAHLQVIST, Erik** [SE/SE]; Gudruns väg 51, S-733 55 Västerås (SE). **LILJENBERG, Thomas** [SE/SE]; Värmlandsvägen 4, S-722 44 Västerås (SE). **BACKA, Stefan** [SE/SE]; Regementsgatan 5, S-723 45 Västerås (SE).
- (74) Agents: **REYIER, Ann-Mari et al.**; Bjerkéns Patentbyrå KB, S-721 88 Västerås (SE).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:**
— With international search report.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: A COMPUTER BASED METHOD AND SYSTEM FOR CONTROLLING AN INDUSTRIAL PROCESS



(57) Abstract: A computer based method for controlling an industrial process (P) which comprises at least two unit processes (UP1, UP2, UP3), comprising the steps of: directly controlling the process by means of a model (1) comprising one or more algorithms; delivering process data (Q, T) from the process (P) to the control model (1). The method comprises the further step of executing an automatic diagnose of the validity of the process data (Q_i, T_i) retrieved from the process (P) for the purpose of preventing irrelevant process data from being used as input in the control model (1).

WO 01/25862 A1

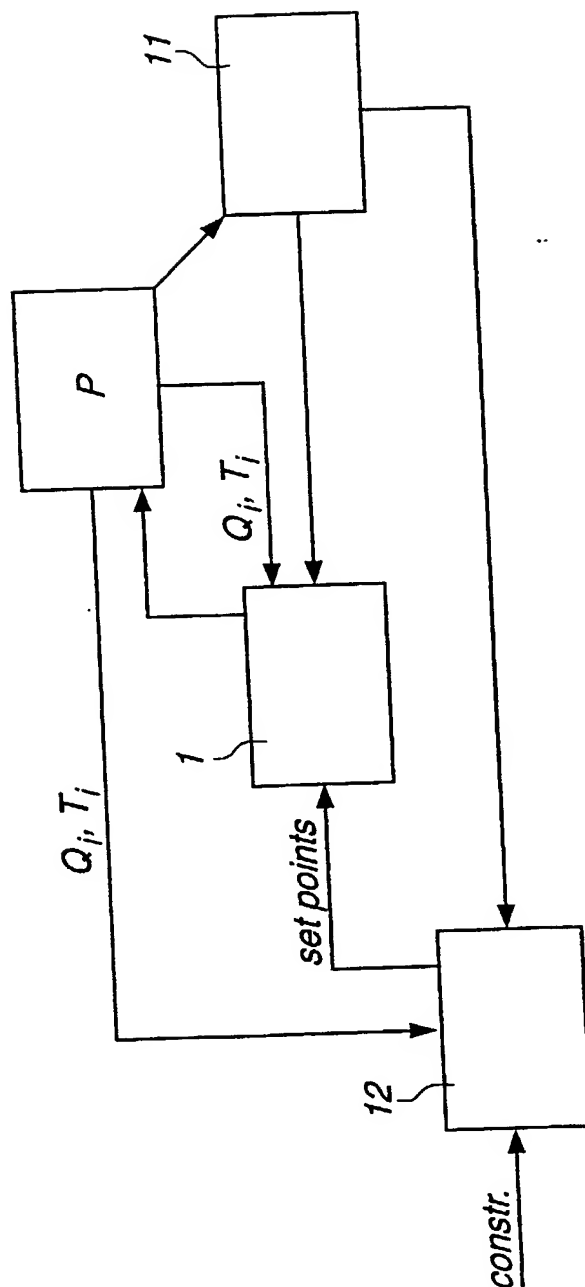
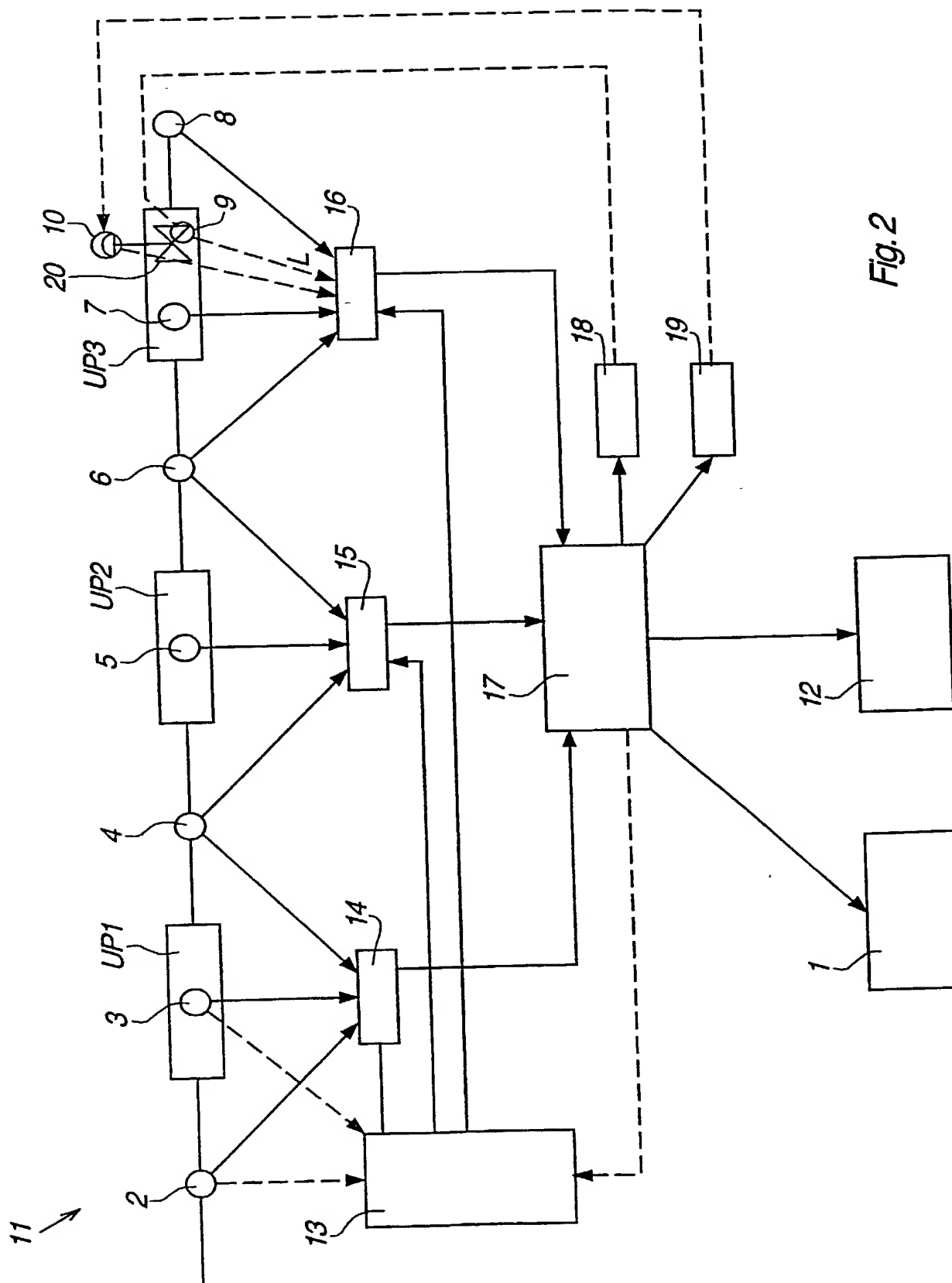


Fig. 1



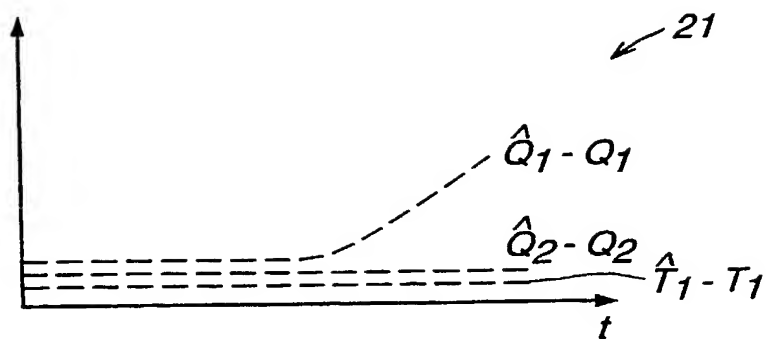


Fig. 3a

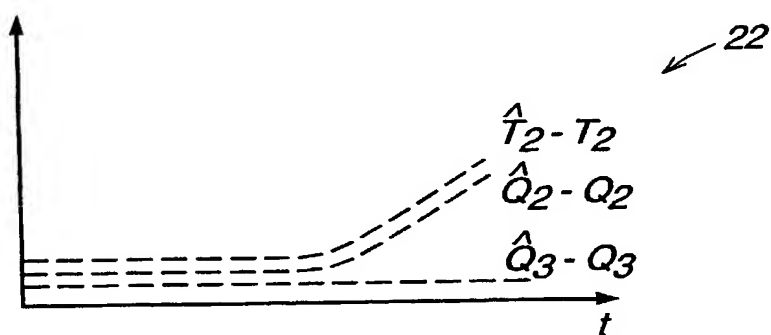


Fig. 3b

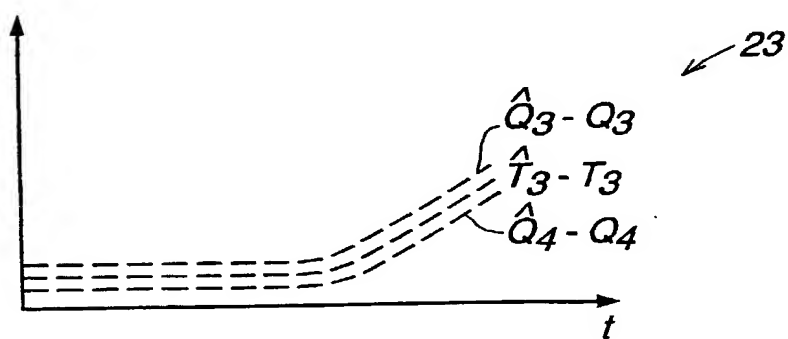


Fig. 3c

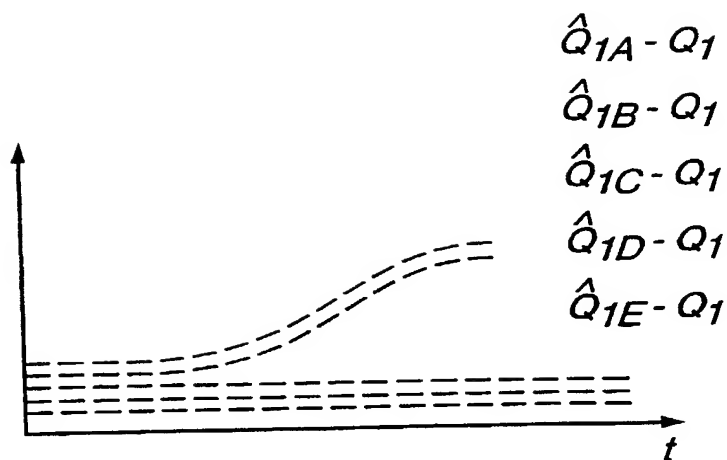


Fig. 4

Declaration, Power of Attorney and Petition

Page 1 of 3

WE (I) the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A COMPUTER BASED METHOD AND SYSTEM FOR CONTROLLING AN INDUSTRIAL PROCESS

the specification of which

☐ is attached hereto.

☐ was filed on _____ as

Application Serial No. _____

and amended on _____.

☒ was filed as PCT international application

Number PCT/SE00/01917

on 4 October 2000,

and was amended under PCT Article 19

on _____ (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed	
<u>9903606-3</u>	<u>SE</u>	<u>05/10/99</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No

We (I) hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status (pending, patented, abandoned)
PCT/SE00/01917	4 October 2000	
_____	_____	_____
_____	_____	_____

And we (I) hereby appoint the following registered practitioner(s):



22850

as our (my) attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith; and we (I) hereby request that all correspondence regarding this application be sent to



22850

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1-0 Erik DAHLQUIST
NAME OF FIRST SOLE INVENTOR

Signature of Inventor

Residence: Gudruns väg 51, SE-733 55, Västerås,
SWEDEN

SE X

Citizen of: SWEDEN

Mailing Address: Same as above

Date

27 March, 2002

2-00

Thomas LILJENBERG

NAME OF SECOND JOINT INVENTOR

TL Lilj-berg

Signature of Inventor

March 27, 2002

Date

3-00

Stefan BACKA

NAME OF THIRD JOINT INVENTOR

S Backa

Signature of Inventor

March 27. 2002

Date

NAME OF FOURTH JOINT INVENTOR

Signature of Inventor

Date

NAME OF FIFTH JOINT INVENTOR

Signature of Inventor

Date

Värmlandsvägen 4, SE-722 44 Västerås,
Residence: SWEDEN

SEX

Citizen of: SWEDEN

Mailing Address: Same as above

Regementsgatan 5, SE-721 88, Västerås,
Residence: SWEDEN

Fröholmens Mistelväg 9, S-725 97

Västerås, SWEDEN

SEX

Citizen of: SWEDEN FINLAND

Mailing Address: Same as above

Residence: _____

Citizen of: _____

Mailing Address: _____

Residence: _____

Citizen of: _____

Mailing Address: _____

19/07/02

15:01

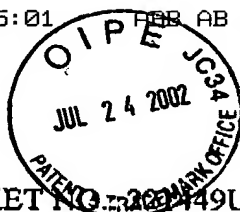
AB PATENT 46 21 181386 → OBLON

Rec'd PCT/PTO 24 JUL 2002

NR. 893

00:

#4



DOCKET NO. 200149US8PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Erik DAHLQUIST et al.

SERIAL NO. : 10/089,068

FILING DATE: April 5, 2002

FOR: A COMPUTER BASED METHOD AND SYSTEM FOR CONTROLLING AN INDUSTRIAL PROCESS

DECLARATION OF Erik DAHLQUIST

I, Erik DAHLQUIST am the first-named inventor of the above-identified application which is the national phase of International PCT Application No. PCT/SE00/01917, filed October 4, 2000.

It has been brought to my attention that my last name was spelled incorrectly in the Notification of Missing Requirements. Specifically, my last name was spelled "DAHLQVIST." My true and correct name is DAHLQUIST, which has been set forth on the Declaration, Power of Attorney and Petition filed on April 5, 2002.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 18 July 2002

Erik DAHLQUIST
Västmannagatan 8,
SE-724 60 Västerås